



IFW

Practitioner's Docket No. HES 2003-IP-009511U1

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent application

of _____
Inventor(s)

for _____
Title of invention

OR

In re application of: Krishna M. Ravi

Application No.: 0 10 / 807,625

Group Art Unit: 3672

Filed: March 24, 2004

Examiner: unknown

For: Improved Casing Comprising Stress-Absorbing Materials and Associated Methods
Of Use

Mail Stop Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR
BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10*

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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. § 1.8(a)

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☐ facsimile transmitted to the Patent and Trademark Office, (703) _____

Sheila Gibbs

Signature

Date: 4-8-05

Sheila Gibbs

(type or print name of person certifying)

* Only the date of filing (§ 1.8) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.8(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [8-3]—page 1 of 3)

NOTE: 37 C.F.R. 1.98(b):

- (1) Each U.S. patent listed in an information disclosure statement must be identified by inventor, patent number, and issue date.
- (2) Each U.S. patent application publication listed in an information disclosure statement shall be identified by applicant, patent application publication number, and publication date.
- (3) Each U.S. application listed in an information disclosure statement must be identified by the inventor, application number, and filing date.
- (4) Each foreign patent or published foreign patent application listed in an information disclosure statement must be identified by the country or patent office which issued the patent or published the application, an appropriate document number, and the publication date indicated on the patent or published application.
- (5) Each publication listed in an information disclosure statement must be identified by publisher, author (if any), title, relevant pages of the publication, date, and place of publication.

WARNING: No extension of time can be had under 37 C.F.R. § 1.136 (a) or (b) for filing an IDS. 37 C.F.R. § 1.97(f).

NOTE: The "filing date of a national application" under 37 C.F.R. § 1.97(b) has two possible meanings. Where the filing is a direct one to the United States Patent & Trademark Office, the filing is defined in 37 C.F.R. § 1.53(b) as "the date on which: (1) A specification containing a description pursuant to § 1.71 and at least one claim pursuant to § 1.75; and (2) any drawing required by § 1.81(a), are filed in the Patent and Trademark Office in the name of the actual inventor or inventors as required by § 1.41." 37 C.F.R. § 1.97(b)(1). On the other hand, an international application that enters the national stage occurs when the applicant has filed the documents and fees required by 35 U.S.C. § 371(c) within the periods set forth in § 1.494 or § 1.495. 35 U.S.C. § 371(c) requires the filing of the following: (1) the basic national fee; (2) a copy of the international application, unless already sent by the International Bureau, and optionally an English translation if filed in another language; and, also optionally (3) amendments under PCT Article 19, with a translation into English if made in another language; (4) an oath or declaration; and (5) a translation into English of any annexes to the international preliminary examination report, if such annexes were made in another language. The optional items must be submitted later, with surcharges. 37 C.F.R. § 1.97(b)(2).

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

NOTE: "No certification or fee is due when the filing is made within the above time period. It is advisable to ensure that no Office action has been mailed if the disclosure statement is delayed until after three months from filing."

NOTE: "An information disclosure statement will be considered to have been filed on the day it was received in the Office, or on an earlier date of a mailing if accompanied by a properly executed certificate of mailing under 37 C.F.R. 1.8, or Express Mail certificate under 37 C.F.R. 1.10. An Office action is mailed on the date indicated in the Office action." Notice of April 20, 1992 (1138 O.G. 37-41, 39). See also § 609, M.P.E.P., 8th Edition.

NOTE: "The term 'national application' includes continuing applications (continuations, divisions, continuations-in-part) so three-months will be measured from the actual filing date of an application as opposed [sic] to the effective date of a continuing application." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

(Transmittal of Information Disclosure Statement Within Three Months of Filing or Before Mailing of First Office
Action [8-3]—page 2 of 3)

NOTE: "An action on the merits means an action which treats the patentability of the claims in an application, as opposed to only formal or procedural requirements. An action on the merits would, for example, contain a rejection or indication of allowability of a claim or claims rather than just a restriction requirements (37 C.F.R. 1.142) or just a requirement for additional fees to have a claim considered (37 C.F.R. 1.16(d)). Thus, if an application was filed on Jan. 1 and the first Office action on the merits was not mailed until six months later on July 1, the examiner would be required to consider any proper information disclosure statement filed prior to July 1." Notice of April 20, 1992 (1138 O.G. 37-41, 39).

WARNING: "A petition for suspension of action to allow applicant time to submit an information disclosure statement will be denied as failing to present good and sufficient reasons, since 37 C.F.R. § 1.97 provides adequate recourse for the timely submission of prior art for consideration by the examiner." Notice of July 6, 1992 (1141 O.G. 63). But see § 103(b) and (c), limited suspension of action in a continued prosecution application (CPA) filed under § 1.53(d) and in a request for continued examination (RCE) under § 1.114.

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PATENT
HES 2003-IP-009511U1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:	Krishna M. Ravi)	
)	
)	Art Unit: 3672
)	
Serial No.:	10/807,625)	
)	
Filed:	March 24, 2004)	Examiner: Unknown
)	
For:	IMPROVED CASING COMPRISING)	
	STRESS-ABSORBING MATERIALS)	
	AND ASSOCIATED METHODS OF)	
	USE)	

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

SIR:

The following documents are known to Applicants or Applicants' attorneys and are submitted for the Examiner to consider in the above-captioned application.

U. S. PATENTS

U.S. Patent No. 3,385,367 issued May 28, 1968 to Paul Kolisman.

U.S. Patent No. 3,719,230 issued March 6, 1973 to Ray F. Kemp et al.

U.S. Patent No. 3,918,523 issued November 11, 1975 to Ivan L. Stuber.

U.S. Patent No. 4,421,169 issued December 20, 1983 to James D. Dearth et al.

U.S. Patent No. 4,936,386 issued June 26, 1990 to Robert V. Colangelo.

U.S. Patent No. 5,564,413 issued October 15, 1996 to Mitsuaki Hori et al.

U.S. Patent No. 5,964,292 issued October 12, 1999 to Rex L. Hewitt.

U.S. Patent No. 6,848,505 B2 issued February 1, 2005 to Bennett M. Richard et al.

U.S. PATENT APPLICATION PUBLICATIONS

U.S. Patent Application Publication No. US 2003/0173092 A1 published September 18, 2003 to Mary Jane Wilson et al.

FOREIGN PATENTS

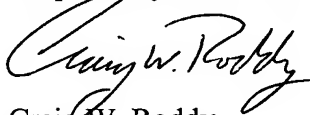
Great Britain Patent No. 1 352 388 published May 8, 1974.

OTHER MATERIAL

Foreign communication from a related counterpart application dated February 16, 2005.

Copies of the non-patented references and Form PTO-1449 are submitted herewith.

Respectfully submitted,

A handwritten signature in cursive script, appearing to read "Craig W. Roddy".

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Sheet 1 of 1

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 2003-IP-009511U1	SERIAL NO. 10/807,625
	APPLICANT Krishna M. Ravi et al.	
	FILING DATE March 24, 2004	GROUP 3672

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		Document No.	Date	Name	Class	Subclass	Filing Date if Appropriate
	AA	3,385,367	05/28/68	Kolisman	166	191	
	AB	3,719,230	03/06/73	Kemp et al.	166	315	
	AC	3,918,523	11/11/75	Stuber	166	285	
	AD	4,421,169	12/20/83	Dearth et al.	166	285	
	AE	4,936,386	06/26/90	Colangelo	166	292	
	AF	5,564,413	10/15/96	Hori et al.	138	137	
	AG	5,964,292	10/12/99	Hewitt	166	292	
	AH	6,848,505 B2	02/01/05	Richard et al.	166	285	
	AI	US 2003/0173092	09/18/03	Wilson et al.	166	387	
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document No.	Date	Country	Class	Subclass	Translation	
							Yes	No
	AL	GB 1 352 388	05/08/74	Great Britain	E21B	17/00	X	
	AM							
	AN							
	AO							
	AP							

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR		Foreign communication from a related counterpart application dated February 16, 2005.
	AS		
	AT		

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.